

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q88674

Kensuke OGAWA, et al.

Appln. No.: 10/542,383

Group Art Unit: 2874

Confirmation No.: 3458

Examiner: Omar R ROJAS

Filed: July 14, 2005

For: DISPERSION COMPENSATION ELEMENT, OPTICAL CRYSTAL, DISPERSION  
COMPENSATION SYSTEM, DISPERSION COMPENSATION METHOD

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

1. U.S. Patent Application Publication No. 2002/0172456 A1, published November 21, 2002, which corresponds to Japanese Patent Application Laid-Open Publication No. 2002-333536, published November 22, 2002 and was previously cited on July 14, 2005.
2. U.S. Patent Application Publication No. 2002/0146196 A1, published October 10, 2002, which corresponds to Japanese Patent Application Laid-Open Publication No. 2002-303836, published October 18, 2002 and was previously cited on July 14, 2005.

3. International Publication No. WO 02/14914 A1, published February 21, 2002, which corresponds to U.S. Patent Application Publication No. 2002/0021878, published February 21, 2002 and was previously cited on July 14, 2005.

4. Chinese Patent Application Publication No. CN 1300950A, published June 27, 2001, which corresponds to U.S. Patent No. 6,519,065 B1, issued on February 11, 2003.

One copy of each of the listed documents is submitted herewith, except for the U.S. patent publications.

The present Information Disclosure Statement is being filed after either a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), but before payment of the Issue Fee, and therefore Applicants are submitting the statutory fee of \$180.00 via EFS payment screen to Deposit Account No. 19-4880, under 37 C.F.R. § 1.17(p), and a Statement Under 37 C.F.R. § 1.97(e).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicants enclose here with a copy of a corresponding Chinese Office Action dated June 22, 2007, and an English translation of the pertinent portions thereof which cites such documents and indicates the degree of relevance found by the foreign office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

/Howard L. Bernstein/

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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: August 23, 2007

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Kensuke OGAWA, et al.

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For: DISPERSION COMPENSATION ELEMENT, OPTICAL CRYSTAL, DISPERSION  
COMPENSATION SYSTEM, DISPERSION COMPENSATION METHOD

**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed  
concurrently herewith was first cited in any communication from a foreign patent office in a  
counterpart foreign application not more than three months prior to the filing of said Information  
Disclosure Statement.

Respectfully submitted,

/Howard L. Bernstein/

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Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(use as many sheets as necessary)**Complete if Known*

Application Number	10/542,383
Confirmation Number	3458
Filing Date	July 14, 2005
First Named Inventor	Kensuke OGAWA
Art Unit	2874
Examiner Name	Omar R ROJAS
Attorney Docket Number	Q88674

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 2002/0172456	A1	11-21-2002	Hosomi et al.
		US 2002/0146196	A1	10-10-2002	Shirane et al.
		US			
		US			
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		WO	02/14914	A1	02-21-2002	CORNING INCORPORATED	
		CN	1300950	A	06-27-2001		

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.